

Question	Answer
Which funds do these changes apply to?	<ul style="list-style-type: none"> • RGI European Fund • RGI Global Alpha Fund • RGI Global Recovery Fund • RGI UK Alpha Fund • RGI UK Equity Income Fund • RGI UK Listed Smaller Companies Fund • RGI UK Recovery Fund
What is the Effective Date of the changes?	The changes will take place on Saturday 22 February 2025 (the "Effective Date").
What is the name of the new Depository?	State Street Trustees Limited.
What is the name of the new Administrator and Registrar?	State Street Bank and Trust Company, London Branch.
Will contact details change?	<p>Your contacts at River Global Investors will not change. These will remain as below:</p> <p>Sales Team Antony John: antony.john@river.global Scott Lewis: scott.lewis@river.global Nick Pearse: nick.pearse@river.global Lucy Draper: lucy.draper@river.global</p> <p>Client queries client.services@river.global</p> <p>Fund queries Your new contact point for all matters relating your investment in the funds, including dealing in shares and complaints from the Effective Date will be:</p> <p>RGI Fund Management PO Box 81459 London E14 1DA</p> <p>0333 345 9051 +44 20 3395 0064 (if dialling from overseas)</p> <p>rgi.ta@statestreet.com</p> <p>Please note that the existing telephone numbers and email addresses will cease to be active from the Effective Date.</p>
Will my account information be transferred to State Street?	Yes, all account information will automatically be transferred to State Street.
Will any accounts that currently have a zero unit balance be carried over to State Street?	Yes, accounts with a zero unit balance will be carried over to State Street if they had a unit balance on or after 31 August 2023.

Will my client account number change?	Any change, where applicable, to account numbers, have been confirmed in writing by form of a letter sent to investors on 7 February 2025.
Will my agent ID change?	No, if you use an agent ID this will not change.
Are the bank account details for settling share purchases changing?	<p>The bank account to which you must send payment for the settlement of share purchases will change on the Effective Date, because of the change of administrator and registrar.</p> <p>Settlement for share purchases placed <u>at or before 12 noon on 21 February 2025</u> should continue to be paid using the existing account details, even if settlement is due after that date.</p> <p>Settlement for share purchases <u>after 12 noon on 21 February 2025</u> should be paid to the following bank account details:</p> <p>Bank: National Westminster Bank plc Account Name: Client Money RGI SVM Asset Management Ltd Account Sort Code: 600001 Account Number: 49174347 IBAN: GB75NWBK60000149174347 BIC: NWBKGB2LXXX</p> <p>Please note that the bank account will not be in use before this date and any payments made to it will be rejected. Please note that cheques will not be accepted after 12 noon on 21 February 2025.</p>
Will redemption mandates be transferred across to the new Administrator?	<p>Yes, from the Effective Date we will deem a redemption instruction placed via an approved electronic system (MyRGI (see below), Calastone, EMX or SWIFT) to be fully renounced, i.e. as a formal instruction from you to redeem the requested value or number of shares and confirmation that you give up your rights to those redeemed shares.</p> <p>The redemption bank account details we currently hold for you will be passed to State Street and will be used for the payment of redemption proceeds. Please note that any payment reference arranged will not be carried over as part of the transfer.</p> <p>If you do not want redemption instructions to be deemed fully renounced without the receipt of the signed instruction, you should not use electronic trading and instead submit redemption instructions by post using the redemption form available from our website.</p> <p>Please note that payment of redemption proceeds or distributions by cheque will no longer be available.</p> <p>If you would like to add a bank mandate to your account prior to the transition please contact BNY at RGI@bny.com.</p>

<p>Will there be any change to the funds' valuation points and/or dealing cut offs?</p>	<p>No, there will be no change to the valuation point or dealing cut off. The valuation point of the funds will continue to be 12:00 and any deals received prior to that time will be dealt at that day's price.</p>
<p>Will unit decimal points change?</p>	<p>There will be no change to the number of decimal places to which share prices and holdings are quoted.</p> <p>The number of decimal places used when rounding for transaction purposes will not change, but the rounding convention for transaction purposes will change from natural rounding to rounding in an investor's favour.</p>
<p>Will there be any change in settlement periods?</p>	<p>No, there will be no change in settlement periods for subscriptions and redemptions, which will continue to be T+3.</p>
<p>What STP dealing availability will there be?</p>	<p>Please note that electronic trading will be temporarily suspended at 12:00 on Friday 21 February 2025. It will be re-activated at 08:00 on Monday 24 February 2025.</p> <p>Calastone You should continue to use the current Provider ID or SWIFT ID when submitting your order instruction. There will no longer be a need to include an agent code or account designation on the instruction. The requirement to enter a Product Code suffix when entering your account number will cease. Please note that if a Product Code suffix is included, the trade will be rejected by Calastone.</p> <p>Should you have any questions regarding trading via Calastone, please contact the Calastone Operations team directly at Operations@Calastone.com</p> <p>EMX The EMX Provider will remain the same: "RIVMC". After 08.00 on 24 February the EMC product will change from IN to GIA and you should use your new State Street account number.</p> <p>Equisoft You should quote the following BIC code when placing any trades via Equisoft: ALUSGB21RGI.</p> <p>All electronically traded redemptions will be treated as renunciation received from the 24 February 2025 and payment automatically made to the redemption mandate associated with the account.</p>
<p>What dealing methods are acceptable in the event of Calastone and/or EMX being unavailable?</p>	<p>In the event of Calastone and/or EMX being unavailable, you will be able to instruct deals using your MyRGI. Fax dealing may be made available as a contingency, with fax details provided by State Street.</p>

<p>Other than via STP how else will I be able to place deals after the Effective Date?</p>	<p>From Monday 24 February 2025 investors will be able to purchase and redeem shares electronically via the new MyRGI portal on the ACD website svmonline.co.uk or by submitting an instruction to the administrator by post using the Subscription or Redemption forms which are also available on the ACD website svmonline.co.uk.</p> <p>Instructions for the purchase or redemption of shares will not be accepted by phone, fax or email after 12:00 on Friday 21 February 2025.</p> <p>After the Effective Date, in the event of STP trading not be possible, fax trading will be made available as a contingency. In such instances fax details will be provided by State Street.</p>
<p>When will I be able to access MyRGI?</p>	<p>You must register to access MyRGI.</p> <p>Corporate investors can register now by completing the MyRGI Administration Users request for access (Corporate) form that can be found on the ACD website svmonline.co.uk.</p> <p>Completed forms should be signed and emailed to rgi.ta@statestreet.com and, once verification checks have been completed by State Street, the Administration Users will be sent a unique email (specific to them only). Once they have access, Administration Users will be able to add additional users at your organisation via MyRGI.</p> <p>Individual investors can register online directly on MyRGI from 24 February 2025.</p> <p>Once registered on MyRGI, investors will be able to purchase and redeem shares directly via the portal and access reporting such as contract notes and holdings reports.</p>
<p>Can log in details for MyRGI be shared across multiple users, or will individual log in credentials be required?</p>	<p>No, each individual user will require their own log in credentials. Once set-up, Administration Users will be able to add additional users at your organisation via the MyRGI portal.</p>
<p>If I hold multiple accounts, will I be able to access them all under one log in to MyRGI?</p>	<p>Yes, multiple accounts will be accessible under one log in to MyRGI.</p>
<p>How can I access reporting?</p>	<p>Reporting such as transaction and holding reports will be available to view on MyRGI.</p>
<p>Will any dealing application forms be required to be completed ahead of the migration to State Street?</p>	<p>No dealing application forms will be required for existing accounts.</p>
<p>Will I be able to view historical transactions?</p>	<p>Transactions made from 31 August 2023 will be available to view on MyRGI. If you need to access information about transactions made prior to this date, please ensure that you retrieve it from the current reporting portal prior to 21 February 2025. Please contact BNY with any queries relating to your access to that portal.</p>

Which ISINs are impacted?	Share Class	ISIN
	RGI European Fund B (Acc)	GB00BMX64N98
	RGI European Fund B (Inc)	GB00BMX64P13
	RGI European Fund F (Acc)	GB00BMX4DN66
	RGI European Fund F (Inc)	GB00BMX64M81
	RGI European Fund S (Acc)	GB00BMX64Q20
	RGI European Fund S (Inc)	GB00BMX64R37
	RGI European Fund Z (Acc)	GB00BMX64S44
	RGI Global Alpha Fund B (Acc)	GB00BZB1R490
	RGI Global Alpha Fund Z (Acc)	GB00BZB1SM46
	RGI Global Recovery Fund B (Inc)	GB00B9428D30
	RGI Global Recovery Fund S (Inc)	GB00BG21HL25
	RGI Global Recovery Fund Z (Acc)	GB00B96FYM16
	RGI UK Alpha Fund A (Inc)	GB00B1DSZM47
	RGI UK Alpha Fund B (Acc)	GB00B3D79W34
	RGI UK Alpha Fund Z (Acc)	GB00B1DSZP77
	RGI UK Equity Income Fund B (Inc)	GB00B3KQG447
	RGI UK Equity Income Fund S (Inc)	GB00BJ0CJK19
	RGI UK Listed Smaller Companies Fund B (Acc)	GB00B1DSZS09
	RGI UK Listed Smaller Companies Fund B (Inc)	GB00BC4DSV56
	RGI UK Listed Smaller Companies Fund S (Acc)	GB00BN6JXP72
	RGI UK Listed Smaller Companies Fund S (Inc)	GB00BK6NVB05
	RGI UK Listed Smaller Companies Fund S2 (Acc)	GB00BN2S9Q15
	RGI UK Listed Smaller Companies Fund S2 (Inc)	GB00BN2S9T46
	RGI UK Recovery Fund B (Inc)	GB00B614J053
	RGI UK Recovery Fund S (Acc)	GB00BN6JY989
	RGI UK Recovery Fund S (Inc)	GB00BG21HH88
	RGI UK Recovery Fund Z (Acc)	GB00B1YJFW60